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No. Pages (including this one): Client & Matter Number: Date: 018547-040820US March 05, 2001 Confirmation Phone Number: At Fax Number: Examiner Jeffrey Siew 571-272-0807 703-872-9306 USPTO

From: Joe Liebeschuetz

(5218)

Message: USSN 09/779,389 Attorney Docket #018547-040820US

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Mr Celsa:

Please find attached a copy of the Request for Signed Copy of Previously Submitted Information Disclosure Statement for the above-referenced application.

Thank you,

Joe Liebeschuetz

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PATENT APPLICATION FILING ACKNOWLEDGMENT

60230259 v1

Mailing Date: File No.:

June 2, 2004

Application No.: 09/779,389

Attorney:

Inventor(s):

018547-040820US

JOL/drm

Filed: KOMASE et al.

February 7, 2001

EXPRESS MAIL NO.;

Title:

METHODS FOR IMPROVED ARRAY PREPARATION

The following items are enclosed:

Request for Signed Copy of Previously Submitted Information Disclosure Statement w/Attachment of previously submitted IDS Statement and Postcard, and

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Please stamp the date of receipt of the enclosed documents and return this card to addresses.

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Patent Examining Group 1639 at Fax No. 1-703-872-9306 on June 2, 2004.

PATENT Docket No.: 018547-040820US Client Ref. No.: 3253.2

TOWNSEND and TOWNSEND and CREW LLP

D'Evelyn R. Moran

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

McGall et al.

Application No.: 09/779,389

Filed: February 7, 2001

For: METHODS FOR IMPROVED

ARRAY PREPARATION

Examiner:

Bennett Celsa

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Art Unit:

1639

JUN 0 2 2004

REQUEST FOR SIGNED COPY OF PREVIOUSLY SUBMITTED INFORMATION DISCLOSURE

STATEMENT

OFFICIAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submitted an Information Disclosure Statement on May 7, 2001, together with copies of cited references. A copy of the statement and a postcard showing receipt therewith by the U.S. PTO are attached. Applicants have not previously received a signed copy of the information disclosure statement and request this now be sent, preferably via facsimile to 650-326-2422.

Respectfully submitted,

Joe Liebeschuetz Reg. No. 37,505

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: (415) 576-0200

Fax: (415) 576-0300

JOL/drm

TO THE U.S. PATENT AND TRADEMARK OFFICE Please stamp the date of receipt of the following document(s) and return this card to us

RE: McGall et a		OPE			
Information Disclosure	Statement				
PTO-1449		W 1 1 2000 EV			
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Application No.:	09/779,389	PADEMINE.			
File No.	18547-040820				
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Attorney Docket No.: 18547-040820US Client Reference No.: 3253.1

Assistant Commissioner for Patents Washington, D.C. 20231

on May 7, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: January K. Hardi

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

McGall et al.

Application No.: 09/779,389

Filed: February 7, 2001

For: U.S. CONTINUATION PATENT APPLICATION FOR: METHODS FOR IMPROVED ARRAY PREPARATION

Examiner:

Barba M. Koroma

Art Unit:

1627

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

McGall et al. Application No.: 09/779,389

Page 2

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the abovenoted Deposit Account.

Respectfully submitted,

William B. Kezer Reg. No. 37,369

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 925 472-5000 Fax: 925 472-8895

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SF 1221350 v1

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NO.825 P.7/9 PTO/SB/08A (WHV)

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Approved for use through 10/31/2002, QM6 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMB control number Substitute for form 1449A/PTO Complete if Known Application Number 09/779,389 INFORMATION DISCLOSURE Filing Date February 7, 2001 STATEMENT BY APPLICANT First Named Inventor McGall, et al. Group Art Unit 1632 (use as many sheets as necessary) 🕠 Examiner Name Barba M. Koroma, PhD

Attorney Docket Number 18547-040820US Sheet of 3 U.S. PATENT DOCUMENTS U.S. Patent Document Pages, Columns, Unes. Where Relevant Date of Publication of Card Document Example Kind Code Name of Palentee or Applicant of Cried Document Number Passages or Relevant (if known) MM-DD-YYYY Figures Appear 5,143,854 Pirrung et al. 9/1/92 2 5,242,979 Barnum et al. 9/7/93 3 10/12/93 5.252.743 Barrett of al. 5,288,514 Ellman 2/22/94 S 5,324,533 Fedor et al. 6/28/94 6 6/26/94 5,324,663 5,384,261 7 Winkler et al. 1/24/95 8 5,405,783 Pirrung et al. 4/11/95 8 5,412,087 McGall et al. 5/2/95 tΟ 5,424,186 Fodor et al. 6/13/95 11 5.445.934 Fodor et al. BV29/95 12 5,451,683 Barrett et al. 9/19/95 13 5,482,867 Barrett et al. 1/9/96 5,489,878 14 Fodor et al. 2/6/96 15 5.491.074 Aldwin of all 2/13/96 16 5,510,270 Fodor et al. 4/23/98 5,527,681 17 Holmes 6/18/96 18 5,545,531 8/13/96 Rava et al. 19 5,547,839 Dower at al. 8/20/96 20 5,550,215 Holmes 0/27/96 21 5,556,752 Lockhart of all 9/17/98 22 5,571,639 Hubbell et al. 11/5/96 23 5,578,832 Yrulson et al. 11/26/96 24 5,593,839 Hubbell et al. 1/14/07 25 5,509,895 Pease et al. 2/4/97 26 5,624,711 Sundberg et al. 4/29/97 27 5,631,734 Stem et al. 5/20/97 5,658,734 24 Brock, et al. 8/19/97 29 5,677,195 Winkler et al. 10/14/97 Sapolsky et al 30 5.710,000 1/20/99 31 5,744,101 Fodor at al. 4/28/98 5,744,305 32 4/28/98 Fodor et al. 33 5.753,788 Fodor et al. 5/19/98 34 5,770.456 Holmes 6/23/98 35 5,800,992 Fodor et al. 9/1/98 36 5,831,070 Pease et al. 11/3/98 37 5,643,655 McGail 12/1/96 38 5,858,011 1/5/99 Sogaba 39 5.919.523 Sundberg at al. 7/8/99 40 6,022,963 McGall at at. 2/8/00

Date Considered

Cronin of al.

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NICODIAL TION DIOOL OOLE	Application Number	09/779,389		
NFORMATION DISCLOSUR	I Limit Date	February 7, 2001		
TATEMENT BY APPLICAN	First Named Inventor	McGall, et al.		
	Group Art Unit	1632		
(use as many sheets as necessary)	Examiner Name	Barba M. Koroma, PhD.		
heet 2 of 3	Alterney Docket Number	18547-040820US		

					PATENT DOCUM	IEN IS		
Examiner Initials	Cile No.	Office	oreign Patent Do	Cument Kind Code ⁵ (if known)	Name of Palentee or Applicant of Cited Document	Oate of Publication of Cited Document MM-OD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Y ⁶
	42	PCT	WO 92/1018	33	Hill	6/25/92		
	43	PCT	WO 99/007	30	Trémblay et al	1/7/99		
	44	PCT	WO 93/0414	15	Inoue et al.	3/4/93		
	45	PCT	WO 97/1036	55	Lockhart et al.	3/20/97		
	46	PCT	WO 92/1009	92	Fodor et al.	6/25/92	· · · · · · · · · · · · · · · · · · ·	
	47	PCT	WO 94/101:	28	Holmes et al.	5/11/94		
	48	PCT	WO 00/6128	32	Glazer et al.	10/19/00		
	49	PCT	WO 00/219	37	McGall et al.	04/20/00		
	50	PCT	WO 00/067	/1	McGall et al.	02/10/00		
	51	PCT	WO 99/5450	9	McGall et al.	10/28/99		
	52	PCT	WO 99/4010	05	Goldberg et al.	02/12/99		
	53	PCT	WO 98/393	18	McGall et al.	09/11/98		
	54	PCT	WO 98/2090	67	Beecher et al.	05/22/98	·—-	
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	57	PCT	WO 97/273	17	Lockhart et al.	07/31/97		
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	59	PCT	EP 728852	0	Pease et al.	08/28/96		
	60	EPO	EP 549107	7	Western et al.	12/29/97		
	61	EPO	967217 A	2	McGall et al.	12/29/99		

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
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	62	MCGALL et al., "The Efficiency of Light-Directed Synthesis of DNA Arrays on Glass Substrates" Journal of the American Chemical Society, 1997. Pages 5081-5090, Vol. 119, No. 22	0				
	63	LOCKHART et al., "Expression monitoring by hybridization to high-density oligonucleotide arrays" Nature Biotechnology, 1996, Pages 1675-1680, Vol. 14					
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	65	CHEE et al., "Accessing Genetic Information with High-Density DNA Arrays" Science, 1996, Pages 610-614, Vol. 274					

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO Complete if Known 09/779,389 **Application Number** INFORMATION DISCLOSURE Filing Oate February 7, 2001 STATEMENT BY APPLICANT First Named Inventor McGall, et al. Group Art Unit 1632 (use as many sheets as necessary) Examiner Name Barba M. Koroma, PhD Sheet of 3 18547-040820US Attorney Docket Number

6	16	McGALL et al., "High-density oligonucleotide probe arrays" Proc. SPIE-Int. Soc. Opt. Eng., 2000, Pages 106-110, Vol. 3926 (Advances in Nucleic Acid and Protein Analyses, Manipulation, and Sequencing)	
6	i7	WALLRAFF et al., "Lithographic techniques for the fabrication of oligonucleotide arrays" J. Photopolym. Sci. Technol., 2000, Pages 551-558, Vol 13	
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Substitute for form 1449A/PTO		Complete If Known						
						Application Number		09/779,389
INFORMATION DISCLOSURE				SURE	Filing Date		February 7, 2001	
STATEMENT BY APPLICANT			First Named Inventor		McGall, et al.			
				Group Art Unit		1632		
	(use as many sheets as necessary)			Examir	er Name	Barba M. Koroma, PhD		
Sheet	Sheet 4 of 4			Attome	ry Docket Number	18547-040820US		
Examiner Signature			Date Considered					

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